OUTLINE

- Organization
- Authority
- Mission/Responsibilities
- Four Functions of the IG
- Role of the IG
- Conclusion
AR 20-1

- Governing Authority for IG’s
- Directives to the IG come from the TAG
- IG’s are independent and unbiased
- IG’s have no command or directive authority
- IG’s do not establish policy except with regard to AR 1-201 (Inspection Policy)
- Assigned as Personal Staff to the TAG
- Responsible directly to the TAG
- IG's operate as an extension of the eyes, ears, voice, and conscience of the Commander
- Render unbiased, objective opinions based on knowledge or research on demand
Inquire into and periodically report on the discipline, efficiency, economy, morale, training, and readiness of units throughout the Arizona National Guard.

Four major functions

- Assistance
- Inspections
- Investigations
- Teaching and Training*
ASSISTANCE FUNCTION

- **ALL SOLDIERS HAVE A RIGHT TO SEE THE IG WITHOUT FEAR OF REPRISAL**

- **Three Questions:**
  - Does the Chain of Command know you’re here?
  - Do you have supporting documentation?
  - What do you want the IG to do for you?
ASSISTANCE FUNCTION (Cont.)

- Attempt to solve the problem at the lowest level possible
- Determine appropriateness
  → Are other forms of redress available?
- Remain fair and impartial
- Complaints received by call-in, write-in, walk-in, referral, or anonymous
Confidentiality

- Key component of IG System
- Encourages voluntary cooperation
- Protect to the maximum extent possible
- Cannot be absolutely guaranteed
  \(\rightarrow\) IG Records can be obtained with FOIA request
- Seek permission from complainant prior to using their name
AR 20-1 & AR 1-201
Determine compliance/non-compliance
ID substandard performance
Determine magnitude & seek “root cause”
Pursue any systemic issues
Teach and Train
ID responsibility for corrective actions
IG INQUIRY/INVESTIGATION

- Not a court of law
- An administrative proceeding not bound by same rules of evidence
- Cannot be used as basis of adverse action
- Preponderance of evidence
- Purpose is to provide Directing Authority with a sound factual basis for proper action
- Fair and impartial fact finding process
- Informal fact finding process
- Does not require a Directive
- Sworn testimony normally not included
- All types of evidence included
  - Direct Evidence
  - Circumstantial Evidence
  - Opinion
  - Hearsay
Directed by the Adjutant General
Formal fact finding process
Detailed IG authorized to administer oath to obtain sworn testimony
Sworn testimony-Written or Recorded
Substantiated allegations turned into 15-6 investigations or referred to CID, FBI or other appropriate authority
Results cannot be used for adverse actions
Allegations:

Abuse of Authority or Position
- Failure to investigate
- Failure to take corrective actions
- Failure to support EO programs
- Unlawful Command Influence
- Command Climate Issues
- Dual Standards/Favoritism

Fraud, Waste, and Abuse
- Unnecessary/Excessive Travel
- Questionable Conferences
- “Gold Plate” Renovations
- Misuse of Government Vehicles
- Cellular Telephones

Improper Personnel Action
- Unequal Treatment
- Pre-Selections
- Failure to provide due process
- Reprisal
- Dual Standards/Favoritism

Personal Misconduct
- APFT/Weight Control
- Sexual Misconduct
- Abusive Profane Language
- Sexual Harassment
- Fraternization
ACTION REQUESTS

- FY 04 ⇒ 310
- FY 03 ⇒ 220
- FY 02 ⇒ 140
  - 91% were requests for assistance
  - 9% were allegations

Most Frequent Categories
- Finance & Accounting 32%
- Military Personnel Management 32%
- Command/Mgmt of Organizations 13%
- Medical 8%
The Inspector General is not a champion for the soldier or the command, but for the Army.